Basic information

2001/0327(CNS) CNS - Consultation procedure Decision	Procedure completed
RTD Euratom framework programme 2002-2006: participation of undertakings, research centres and universities **Subject** 3.50.02.02 Euratom framework programme, research and training programmes 3.60.04 Nuclear energy, industry and safety	

Key players

European Parliament

Committee responsible	Rapporteur	Appointed
ITRE Industry, External Trade, Research, Energy	QUISTHOUDT-ROWOHL Godelieve (PPE-DE)	19/02/2002

Committee for opinion	Rapporteur for opinion	Appointed
BUDG Budgets	The committee decided not to give an opinion.	
CONT Budgetary Control	The committee decided not to give an opinion.	
JURI Legal Affairs and Internal Market	The committee decided not to give an opinion.	

Council of the European Union

Council configuration	Meetings	Date
Economic and Financial Affairs ECOFIN	2460	2002-11-05
Research	2417	2002-03-11

European Commission

Commission DG	Commissioner
Research and Innovation	

Key events

Date	Event	Reference	Summary

06/12/2001	Initial legislative proposal published	COM(2001)0725	Summary
15/01/2002	Legislative proposal published	COM(2001)0823	Summary
11/03/2002	Debate in Council		
28/05/2002	Vote in committee		Summary
28/05/2002	Committee report tabled for plenary, 1st reading/single reading	A5-0205/2002	
29/05/2002	Committee referral announced in Parliament		
02/07/2002	Debate in Parliament		
03/07/2002	Decision by Parliament	T5-0357/2002	Summary
05/11/2002	Act adopted by Council after consultation of Parliament		
05/11/2002	End of procedure in Parliament		
30/12/2002	Final act published in Official Journal		

Technical information

Procedure reference	2001/0327(CNS)
Procedure type	CNS - Consultation procedure
Procedure subtype	Legislation
Legislative instrument	Decision
Legal basis	EC Treaty (after Amsterdam) EC 007
Stage reached in procedure	Procedure completed
Committee dossier	ITRE/5/15841

Documentation gateway

European Parliament

Document type	Committee	Reference	Date	Summary
Committee report tabled for plenary, 1st reading/single reading		A5-0205/2002	28/05/2002	
Text adopted by Parliament, 1st reading/single reading		T5-0357/2002 OJ C 271 12.11.2003, p. 0197-0347 E	03/07/2002	Summary

European Commission

Document type	Reference	Date	Summary
Initial legislative proposal	COM(2001)0725	06/12/2001	Summary
Legislative proposal	COM(2001)0823 OJ C 103 30.04.2002, p. 0331 E	15/01/2002	Summary

Other institutions and bodies

Institution/body	Document type	Reference	Date	Summary
EESC	Economic and Social Committee: opinion, report	CES0867/2002 OJ C 241 07.10.2002, p. 0160	17/07/2002	

Additional information		
Source	**Document**	**Date**
European Commission	EUR-Lex	

Final act	
Regulation 2002/2322 OJ L 355 30.12.2002, p. 0035-0044	Summary

RTD Euratom framework programme 2002-2006: participation of undertakings, research centres and universities

2001/0327(CNS) - 03/07/2002 - Text adopted by Parliament, 1st reading/single reading

The European Parliament adopted the resolution drafted by Godelieve QUISTHOUDT-ROWOHL (EPP-ED, Germany) on the rules for participation in the Euratom framework programme 2002-2006. (Please refer to the document dated 28/05/02.) Parliament wanted the form of the legislation to be changed from a decision to a regulation. Criteria for evaluation of indirect actions must include European added value, critical mass of resources mobilised and contribution to Community policies, as well as plans for the management of intellectual property. Additional criteria can be taken into account including synergies with education, capacity to engage with actors beyond the research community and the public as a whole and activities to increase the role of women in research. Participants in an indirect action must conclude a consortium agreement, unless otherwise specified in a call for proposals. The Commission will publish non-binding guidelines on points to be addressed in a consortium agreement such as the internal organisation of the consortium and the settlement of internal disputes. Parliament inserted provisions regarding the technical implementation of the indirect action, and action that might follow a breach of the contract between the consortium and the Commission.

RTD Euratom framework programme 2002-2006: participation of undertakings, research centres and universities

2001/0327(CNS) - 05/11/2002 - Final act

PURPOSE : to set the rules for the participation of undertakings, research centres and universities in the implementation of the sixth-framework programme of the European Atomic Energy Community (Euratom) (2002-2006). COMMUNITY MEASURE Council Regulation 2322/2002/Euratom. CONTENT : this Regulation establishes rules for the participation of enterprises, research centres and universities in research carried out under the sixth framework programme of the European Atomic Energy Community for research and training activities, also contributing to the creation of the European Research Area (2002 to 2006). These provisions shall fit into a coherent transparent framework which takes full account of the objectives and characteristics of the instruments defined in Annex III to the specific programme (Euratom) for research and training on nuclear energy, adopted by Council Decision 2002/837/Euratom, in order to guarantee the most efficient implementation possible. Adoption of this Regulation and of the rules for participation in the European Community research programme will ensure the timely implementation of the two new framework programmes, as requested by the European Council, so as to promote the creation of a European Research Area and to strengthen innovation in the European Union. The overall budget provided for under the EC and Euratom framework programmes amounts to EUR 17 500 million, of which EUR 1 230 million are earmarked for the Euratom programme. ENTRY INTO FORCE : 02/01/2003.

RTD Euratom framework programme 2002-2006: participation of undertakings, research centres and universities

2001/0327(CNS) - 15/01/2002 - Legislative proposal

PURPOSE : to establish the rules for the participation of undertakings, research centres and universities in the implementation of the framework programme 2002-2006 of the European Atomic Energy Community (Euratom)

PROPOSED ACT : Decision of the Council

CONTENT : The current proposal is a revised draft of the proposal concerning the rules for participation adopted by the Commission on 06/12/2001. (Please refer to the preceding summary.) This revision of the initial proposal is intended to ensure consistency by aligning the EURATOM rules for participation on the revised version of those relating to the EC Framework Programme, while taking into account their specificities.

The revision of the initial proposal consists in the deletion of Chapter II (“Instruments”) and the Annex (“RTD activities and Community financial contribution according to type of instrument”), since these two parts will be transferred either to Annex III to the Framework Programme or Annex III to the EURATOM Specific Programme.

To assist in the creation of the European Research Area, the 2002-2006 framework programme applies the following principles, especially through the networks of excellence and integrated projects:

-The principle of opening projects to new participants;

-Flexibility in operational conditions, including procedures for launching new activities;

-Great autonomy in project implementation, with participants carrying out their tasks in largely self-determined conditions and internal arrangements best suited to completing projects successfully.

The following new features have been introduced into the rules for participation and financing to apply the above principles and to bring the rules into line with the characteristics and objectives of the new framework programme:

-Participants from Member States and from Associated candidate countries have exactly the same rights and obligations;

-European scientific co-operation organisations are entitled to participate in the framework programme on exactly the same footing as any entity established in a Member State (this is not the case at present);

-General criteria have been drawn up for the selection of proposals taking account of the specific objectives of the new instruments;

-The Community will make its financial contribution in new forms of 'grants for integration' for the networks of excellence or 'grants to the budget' for integrated projects, providing important flexibility and involving less cumbersome and more effective controls, essentially ex post controls;

-Consortia responsible for networks and integrated projects are given the opportunity to change the partnership, including through competitive calls, subject to clearly defined conditions, and on the basis of simplified Commission consent;

-Specific rules for participation to Research and Training activities under the priority thematic area “Fusion Energy Research” have been defined to take into account the characteristics of this field.

As such, the rules have been drawn up so as to guarantee the smooth operation of projects, capable of involving large numbers of participants and conducted through evolving partnerships.

RTD Euratom framework programme 2002-2006: participation of undertakings, research centres and universities

2001/0327(CNS) - 06/12/2001 - Initial legislative proposal

PURPOSE : to establish rules for the participation of enterprises, research centres and universities in research carried out under the framework programme of the European Atomic Energy Community for research and training activities aimed at contributing towards the creation of the European Research Area (2002-2006). CONTENT : the rules for participation adopted by the Council are one of the legal instruments implementing the Community framework programme for research. The new rules have been drawn up with the twofold aim of: - Adapting the rules on participating in research programmes in line with the philosophy and characteristics of the new framework programme, especially the principles of the proposed new procedures for intervention and financial support; - Simplifying and streamlining existing provisions to make them clearer and easier to understand. The framework established complies with the above principles. Moreover, unlike the existing rules, the new rules on participation are not intended to be supported by an implementing regulation. To assist in the creation of the European Research Area, the 2002-2006 framework programme applies the following principles, especially through the networks of excellence and integrated projects: - The principle of opening projects to new participants; - Flexibility in operational conditions, including procedures for launching new activities; - Great autonomy in project implementation, with participants carrying out their tasks in largely self-determined conditions and internal arrangements best suited to completing projects successfully. The following new features have been introduced into the rules for participation and financing to apply the above principles and to bring the rules into line with the characteristics and objectives of the new framework programme : - Participants from Member States and from Associated candidate countries have exactly the same rights and obligations; - European scientific co-operation organisations are entitled to participate in the framework programme on exactly the same footing as any entity established in a Member State (this is not the case at present); - General criteria have been drawn up for the selection of proposals taking account of the specific objectives of the new instruments; - The Community will make its financial contribution in new forms of 'grants for integration' for the networks of excellence or 'grants to the budget' for integrated projects, providing important flexibility and involving less cumbersome and more effective controls, essentially ex post controls; - Consortia responsible for networks and integrated projects are given the opportunity to change the partnership, including through competitive calls, subject to clearly defined conditions, and on the basis of simplified

Commission consent; - Specific rules for participation to Research and Training activities under the priority thematic area "Fusion Energy Research" have been defined to take into account the characteristics of this field; As such, the rules have been drawn up so as to guarantee the smooth operation of projects, capable of involving large numbers of participants and conducted through evolving partnerships. These rules have been defined in consultation with programme users, the scientific community and industry. The same principles will apply in the more detailed technical implementation provisions for carrying out the framework programme, in particular, those set out in the model contract and the work programmes. Users and national authorities will be consulted on these provisions. In accordance with one of the key principles of the 2002-2006 framework programme, the model contract and work programmes will be prepared with the same goals as the reworking of the present rules, namely simplification and streamlining. The current financial provisions in the model contract and grant application forms will, therefore, be simplified.