

## Basic information

### 2009/0144(COD)

COD - Ordinary legislative procedure (ex-codecision procedure)  
Regulation

European Securities and Markets Authority (ESMA)

Amending Decision No 716/2009/EC [2009/0001\(COD\)](#)

Amended by [2009/0064\(COD\)](#)

Amended by [2011/0006\(COD\)](#)

Amended by [2016/0365\(COD\)](#)

Amended by [2017/0136\(COD\)](#)

Amended by [2017/0230\(COD\)](#)

Amended by [2021/0240\(COD\)](#)

Amended by [2023/0363\(COD\)](#)

See also [2015/0905\(NLE\)](#)

#### Subject

2.50.03 Securities and financial markets, stock exchange, CIUTS,  
investments

8.40.08 Agencies and bodies of the EU

Procedure completed

## Documentation gateway

### European Parliament

Document type	Committee	Reference	Date	Summary
Committee draft report		<a href="#">PE438.409</a>	10/02/2010	
Amendments tabled in committee		<a href="#">PE439.456</a>	24/03/2010	
Amendments tabled in committee		<a href="#">PE439.919</a>	24/03/2010	
Amendments tabled in committee		<a href="#">PE439.931</a>	24/03/2010	
Committee opinion	<a href="#">AFCO</a>	<a href="#">PE439.146</a>	09/04/2010	
Committee opinion	<a href="#">BUDG</a>	<a href="#">PE439.452</a>	29/04/2010	
Committee opinion	<a href="#">JURI</a>	<a href="#">PE430.969</a>	30/04/2010	
Committee report tabled for plenary, 1st reading/single reading		<a href="#">A7-0169/2010</a>	03/06/2010	
Text adopted by Parliament, partial vote at 1st reading /single reading		<a href="#">T7-0270/2010</a>	07/07/2010	<a href="#">Summary</a>
Text adopted by Parliament, 1st reading/single reading		<a href="#">T7-0339/2010</a>	22/09/2010	<a href="#">Summary</a>

### Council of the EU

Document type	Reference	Date	Summary
Draft final act	<a href="#">00042/2010/LEX</a>	24/11/2010	

### European Commission

Document type	Reference	Date	Summary
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Legislative proposal	COM(2009)0503 	23/09/2009	<a href="#">Summary</a>
Document attached to the procedure	SEC(2009)1233 	23/09/2009	
Document attached to the procedure	SEC(2009)1234 	23/09/2009	
Document attached to the procedure	SEC(2009)1235 	23/09/2009	
Commission response to text adopted in plenary	SP(2010)7193	13/10/2010	
Follow-up document	COM(2014)0509 	08/08/2014	<a href="#">Summary</a>
Follow-up document	SWD(2014)0261 	08/08/2014	<a href="#">Summary</a>

#### National parliaments

Document type	Parliament /Chamber	Reference	Date	Summary
Contribution	NL_CHAMBER	COM(2009)0503	13/11/2009	
Contribution	CZ_SENATE	COM(2009)0503	07/12/2009	
Contribution	IT_SENATE	COM(2009)0503	17/06/2010	

#### Other institutions and bodies

Institution/body	Document type	Reference	Date	Summary
ECB	European Central Bank: opinion, guideline, report	CON/2010/0005 OJ C 013 20.01.2010, p. 0001	08/01/2010	<a href="#">Summary</a>