

| Basic information | |
|--|---------------------|
| 2009/0808(CNS) CNS - Consultation procedure Decision | Procedure completed |
| Europol: relations with partners (exchange of data and classified information). Implementing rules Repealed by 2013/0091(COD) Subject 1.20.09 Protection of privacy and data protection 7.30.05.01 Europol, CEPOL | |

| Key players | | | |
|-------------------------------|--|--------------------------|------------------|
| European Parliament | Committee responsible | Rapporteur | Appointed |
| | LIBE Civil Liberties, Justice and Home Affairs | IN 'T VELD Sophia (ALDE) | 02/09/2009 |
| Council of the European Union | Council configuration | Meetings | Date |
| | Justice and Home Affairs (JHA) | 2979 | 2009-11-30 |
| European Commission | Commission DG | Commissioner | |
| | Justice and Consumers | BARROT Jacques | |

| Key events | | | |
|------------|---|---|-------------------------|
| Date | Event | Reference | Summary |
| 24/07/2009 | Legislative proposal published | 11944/2009 | Summary |
| 14/09/2009 | Committee referral announced in Parliament | | |
| 12/11/2009 | Vote in committee | | Summary |
| 13/11/2009 | Committee report tabled for plenary, 1st reading/single reading | A7-0064/2009 | |
| 23/11/2009 | Debate in Parliament |  | |
| 24/11/2009 | Results of vote in Parliament |  | |
| 24/11/2009 | Decision by Parliament | | Summary |
| 30/11/2009 | Act adopted by Council after consultation of Parliament | | |
| 30/11/2009 | End of procedure in Parliament | | |
| 11/12/2009 | Final act published in Official Journal | | |

| |
|--|
| |
|--|

| Technical information | |
|----------------------------|--|
| Procedure reference | 2009/0808(CNS) |
| Procedure type | CNS - Consultation procedure |
| Procedure subtype | Legislation |
| Legislative instrument | Decision |
| Amendments and repeals | Repealed by 2013/0091(COD) |
| Legal basis | Rules of Procedure EP 204 |
| Other legal basis | Rules of Procedure EP 165 |
| Stage reached in procedure | Procedure completed |
| Committee dossier | LIBE/7/00536 |

| Documentation gateway | | | | |
|---|----------------------------|------------------------------|-------------------------|---------|
| European Parliament | | | | |
| Document type | Committee | Reference | Date | Summary |
| Committee draft report | | PE430.414 | 05/11/2009 | |
| Amendments tabled in committee | | PE430.624 | 10/11/2009 | |
| Committee report tabled for plenary, 1st reading/single reading | | A7-0064/2009 | 13/11/2009 | |
| Council of the EU | | | | |
| Document type | Reference | Date | Summary | |
| Legislative proposal | 11944/2009 | 24/07/2009 | Summary | |

| Additional information | | |
|------------------------|-------------------------|------|
| Source | Document | Date |
| European Commission | EUR-Lex | |

| Final act | |
|--|-------------------------|
| Justice and Home Affairs act 2009/0934 OJ L 325 11.12.2009, p. 0006 | Summary |

Europol: relations with partners (exchange of data and classified information). Implementing rules

PURPOSE: to adopt the implementing rules governing Europol's relations with partners, including the exchange of personal data and classified information.

LEGISLATIVE ACT: Council Decision 2009/934/JHA adopting the implementing rules governing Europol's relations with partners, including the exchange of personal data and classified information.

BACKGROUND: in accordance with [Council Decision 2009/371/JHA](#) establishing Europol as a Community agency, it is for the Council, acting by qualified majority after consulting the European Parliament to adopt implementing rules on the confidentiality of information which is obtained by, or exchanged with, Europol.

The purpose of this decision is to establish those rules.

CONTENT: the rules set out in this text govern the relations of Europol with EU bodies and third parties, including the exchange of personal data and classified information and set out the procedures applicable to the negotiation and conclusion of **cooperation agreements and working arrangements**.

Below is a summary of the main points regarding those rules:

1) Conclusion of cooperation agreements and working arrangements: Europol may establish and maintain cooperative relations with **EU bodies or third parties** (those on the list referred to in the Europol Decision) in so far as it is relevant to the performance of its tasks. To that end, it shall conclude agreements or working arrangements with EU bodies or agreements with third parties. Such agreements may concern the exchange of operational, strategic or technical information, including personal data and classified information. The transmission of classified information shall be permissible only insofar as agreement on confidentiality exists between Europol and the EU body or third party.

In the case of agreement with a third State, the information shall be transmitted via a designated contact point identified in the agreement.

Moreover, where the conclusion of an operational agreement is envisaged with a third party (in particular those included in [Council Decision 2009/935/JHA](#) establishing a list of third states and organisations for conclusion of agreements), Europol shall carry out an assessment of the existence of an adequate level of data protection ensured by the third party.

2) Exchange of information: before the entry into force of an agreement or working arrangement with an EU body or third party, **Europol may directly receive and use information**, including personal data and classified information, insofar as it is necessary for the legitimate performance of its tasks.

The Decision also sets out detailed **conditions for the transmission of information** to EU bodies and third parties, both **after the conclusion and prior to the entry into force of an agreement/working arrangement**. Europol shall keep a record of all transmissions of data under these rules and of the grounds for such transmissions. Note that Europol may directly transmit information, with the exception of personal data and classified information, to third parties which are not included in the aforementioned list, insofar as it is absolutely necessary in individual cases for the purposes of preventing or combating criminal offences in respect of which Europol is competent. **Europol shall be responsible** for the legality of the transmission of data.

More specific measures are provided in the following cases:

- **Exceptional cases:** Europol may transmit personal data and classified information which it holds to third parties insofar as the Director considers their transmission to be **absolutely necessary** to safeguard the essential interests of the Member States concerned within the scope of Europol's objectives or in the interests of preventing imminent danger associated with crime or terrorist offences. The Decision contains provisions for the transmission of both personal and classified information in such cases. The Director shall in all circumstances consider the data protection level applicable to the third party in question with a view to balancing that data protection level and those interests.
- **Transmission of personal data:** transmission of personal data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs or trade-union membership and the transmission of data concerning a person's health or sex life shall not be permitted unless strictly necessary. When Europol transmits personal data to an EU body or third party, it shall ensure that the recipient EU body or third party give an undertaking that the personal data shall be corrected or deleted if it emerges that they are incorrect, inaccurate, no longer up to date or should not have been transmitted.
- **Onward transmission of data:** transmission of personal data by Europol to third States and transmission within that State shall be restricted to competent authorities, which shall be explicitly mentioned in any agreement concluded. The Decision establishes the conditions under which the onward transmission of information may take place (for instance, in the interests of preventing imminent danger associated with crime or terrorist offences). In particular, no onward transmission of data communicated to Europol by a Member State shall be allowed without the prior consent of the Member State concerned.

Lastly, the decision establishes specific conditions for the **receipt of information by Europol from third parties**, including an assessment of the source and of the information to determine the reliability and provisions for the correction and deletion of information received. If Europol has reason to believe that information supplied is inaccurate or no longer up-to-date, it shall inform the EU body or third party which supplied the information and request that the EU body or third party inform Europol of its position. Where information is corrected or deleted by Europol, it shall inform the supplying EU body or third party of the correction or deletion. Information which has clearly been obtained by a third State in obvious violation of human rights shall not be processed.

ENTRY INTO FORCE: 01/01/2010.

Europol: relations with partners (exchange of data and classified information). Implementing rules

2009/0808(CNS) - 24/07/2009 - Legislative proposal

PURPOSE: to adopt the implementing rules governing Europol's relations with partners, including the exchange of personal data and classified information.

PROPOSED ACT: Council Decision.

BACKGROUND: in accordance with [Council Decision 2009/371/JHA](#) establishing Europol as a Community agency, it is for the Council, acting by qualified majority after consulting the European Parliament to adopt implementing rules on the confidentiality of information which is obtained by, or exchanged with, Europol.

The purpose of this proposal is to establish those rules.

CONTENT: the rules set out in this text govern the relations of Europol with EU bodies and third parties, including the exchange of personal data and classified information and set out the procedures applicable to the negotiation and conclusion of cooperation agreements and working arrangements.

Below is a summary of the main points regarding those rules:

1) Conclusion of cooperation agreements and working arrangements: Europol may establish and maintain cooperative relations with EU bodies or third parties (those on the list referred to in the Europol Decision) in so far as it is relevant to the performance of its tasks. To that end, it shall conclude agreements or working arrangements with EU bodies or agreements with third parties. Such agreements may concern the exchange of operational, strategic or technical information, including personal data and classified information. The transmission of classified information shall be permissible only insofar as agreement on confidentiality exists between Europol and the EU body or third party.

In the case of agreement with a third State, the information shall be transmitted via a designated contact point identified in the agreement.

Moreover, where the conclusion of an operational agreement is envisaged with a third party (in particular those included in [Council Decision](#) establishing a list of third states and organisations for conclusion of agreements), Europol shall carry out an assessment of the existence of an adequate level of data protection ensured by the third party.

2) Exchange of information: before the entry into force of an agreement or working arrangement with an EU body or third party, Europol may directly receive and use information, including personal data and classified information, insofar as it is necessary for the legitimate performance of its tasks. The Decision also sets out detailed conditions for the transmission of information to EU bodies and third parties, both after the conclusion and prior to the entry into force of an agreement/working arrangement. Europol shall keep a record of all transmissions of data under these rules and of the grounds for such transmissions.

Note that Europol may directly transmit information, with the exception of personal data and classified information, to third parties which are not included in the aforementioned list, insofar as it is absolutely necessary in individual cases for the purposes of preventing or combating criminal offences in respect of which Europol is competent.

Europol shall be responsible for the legality of the transmission of data.

More specific measures are provided in the following cases:

- **Exceptional cases:** Europol may transmit personal data and classified information which it holds to third parties insofar as the Director considers their transmission to be absolutely necessary to safeguard the essential interests of the Member States concerned within the scope of Europol's objectives or in the interests of preventing imminent danger associated with crime or terrorist offences. The Decision contains provisions for the transmission of both personal and classified information in such cases.
- **Transmission of personal data:** transmission of personal data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs or trade-union membership and the transmission of data concerning a person's health or sex life shall not be permitted unless strictly necessary. The recipient shall give an undertaking that the personal data shall be corrected or deleted if it emerges that they are incorrect, inaccurate, or no longer up-to-date or should not have been transmitted.
- **Onward transmission of data:** transmission of personal data by Europol to third States and transmission within that State shall be restricted to competent authorities, which shall be explicitly mentioned in any agreement concluded. The Decision establishes the conditions under which the onward transmission of information may take place (for instance, in the interests of preventing imminent danger associated with crime or terrorist offences). In particular, no onward transmission of data communicated to Europol by a Member State shall be allowed without the prior consent of the Member State concerned.
- **Receipt of information by Europol from third parties:** the Decision establishes specific conditions for the receipt of information by Europol from third parties, including an assessment of the source and of the information to determine the reliability and provisions for the correction and deletion of information received. If Europol has reason to believe that information supplied is inaccurate or no longer up-to-date, it shall inform the EU body or third party which supplied the information and request that the EU body or third party inform Europol of its position. Where information is corrected or deleted by Europol, it shall inform the supplying EU body or third party of the correction or deletion. Information which has clearly been obtained by a third State in obvious violation of human rights shall not be processed.

IMPACT ASSESSMENT: not applicable.

BUDGETARY IMPLICATIONS: the proposal has no implications for the Community budget.