

**Basic information****2011/0360(COD)**COD - Ordinary legislative procedure (ex-codecision procedure)  
Directive

Institutions for occupational retirement provision, undertakings of collective investment in transferable securities (UCITS) and Alternative Investment Funds Managers: risk management

Amending Directive 2003/41/EC [2000/0260\(COD\)](#)Amending Directive 2009/65/EC [2008/0153\(COD\)](#)Amending Directive 2011/61/EU [2009/0064\(COD\)](#)**Subject**

2.50.03 Securities and financial markets, stock exchange, CIUTS, investments

2.50.05 Insurance, pension funds

2.50.08 Financial services, financial reporting and auditing

2.50.10 Financial supervision

Procedure completed

**Documentation gateway****European Parliament**

Document type	Committee	Reference	Date	Summary
Committee draft report		<a href="#">PE480.851</a>	07/02/2012	
Amendments tabled in committee		<a href="#">PE486.063</a>	29/03/2012	
Committee opinion	<a href="#">JURI</a>	<a href="#">PE483.524</a>	27/04/2012	
Committee report tabled for plenary, 1st reading/single reading		<a href="#">A7-0220/2012</a>	28/06/2012	<a href="#">Summary</a>
Text adopted by Parliament, 1st reading/single reading		<a href="#">T7-0013/2013</a>	16/01/2013	<a href="#">Summary</a>

**Council of the EU**

Document type	Reference	Date	Summary
Draft final act	<a href="#">00069/2012/LEX</a>	21/05/2013	

**European Commission**

Document type	Reference	Date	Summary
Legislative proposal	<a href="#">COM(2011)0746</a> 	15/11/2011	<a href="#">Summary</a>
Document attached to the procedure	<a href="#">SEC(2011)1354</a> 	15/11/2011	
Document attached to the procedure	<a href="#">SEC(2011)1355</a> 	15/11/2011	
Document attached to the procedure	<a href="#">COM(2012)0367</a> 	06/07/2012	<a href="#">Summary</a>

**National parliaments**

Document type	Parliament /Chamber	Reference	Date	Summary
Contribution	PT_PARLIAMENT	COM(2011)0746	23/01/2012	
Contribution	IT_SENATE	COM(2011)0746	23/11/2012	

**Other institutions and bodies**

Institution/body	Document type	Reference	Date	Summary
ECB	European Central Bank: opinion, guideline, report	CON/2012/0024 OJ C 167 13.06.2012, p. 0002	02/04/2012	Summary
EESC	Economic and Social Committee: opinion, report	CES1296/2012	23/05/2012	