

| Basic information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|
| <b>2011/0360(COD)</b><br>COD - Ordinary legislative procedure (ex-codecision procedure)<br>Directive                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Procedure completed |
| Institutions for occupational retirement provision, undertakings of collective investment in transferable securities (UCITS) and Alternative Investment Funds Managers: risk management<br><br>Amending Directive 2003/41/EC <a href="#">2000/0260(COD)</a><br>Amending Directive 2009/65/EC <a href="#">2008/0153(COD)</a><br>Amending Directive 2011/61/EU <a href="#">2009/0064(COD)</a><br><br><b>Subject</b><br><br>2.50.03 Securities and financial markets, stock exchange, CIUTS, investments<br>2.50.05 Insurance, pension funds<br>2.50.08 Financial services, financial reporting and auditing<br>2.50.10 Financial supervision |                     |

| Key events |                                                         |                                                                                                                      |                         |
|------------|---------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| Date       | Event                                                   | Reference                                                                                                            | Summary                 |
| 15/11/2011 | Legislative proposal published                          | <a href="#">COM(2011)0746</a><br> | <a href="#">Summary</a> |
| 30/11/2011 | Committee referral announced in Parliament, 1st reading |                                                                                                                      |                         |
| 19/06/2012 | Vote in committee, 1st reading                          |                                                                                                                      |                         |
| 22/06/2012 | Debate in Council                                       |                                                                                                                      | <a href="#">Summary</a> |
| 28/06/2012 | Committee report tabled for plenary, 1st reading        | <a href="#">A7-0220/2012</a>                                                                                         | <a href="#">Summary</a> |
| 15/01/2013 | Debate in Parliament                                    |                                 |                         |
| 16/01/2013 | Decision by Parliament, 1st reading                     | <a href="#">T7-0013/2013</a>                                                                                         | <a href="#">Summary</a> |
| 16/01/2013 | Results of vote in Parliament                           |                                 |                         |
| 13/05/2013 | Act adopted by Council after Parliament's 1st reading   |                                                                                                                      |                         |
| 21/05/2013 | Final act signed                                        |                                                                                                                      |                         |
| 21/05/2013 | End of procedure in Parliament                          |                                                                                                                      |                         |
| 31/05/2013 | Final act published in Official Journal                 |                                                                                                                      |                         |