Basic information 2016/0283(APP) APP - Consent procedure Multiannual financial framework for the years 2014-2020: special instruments Amending Regulation (EU, Euratom) No 1311/2013 2011/0177(APP) Subject 8.70 Budget of the Union 8.70.01 Financing of the budget, own resources 8.70.02 Financial regulations

Key players							
European Parliament	Committee responsible			Rapporteur		Appointed	
	BUDG Budgets			OLBRYCHT	Jan (PPE) abelle (S&D)	10/10/2016	
				Shadow rap			
					KÖLMEL Bernd (ECR) DEPREZ Gérard (ALDE)		
				/NGL)	Younous (GUE		
				NÍ RIADA Li /NGL) ZANNI Marc			
				ZAMM Marc	(ENF)		
Council of the European	Council configuration	Mee	tings		Date		
Jnion	General Affairs	3499	3499 20		2016-11-16	2016-11-16	
	General Affairs	3526	3526 2017-03-0		2017-03-07	,	
	General Affairs	351	1		2016-12-13		
	General Affairs	3484	4		2016-09-20		
European Commission	Commission DG		Commi	issioner			
	Budget		KING .	Julian			

Key events		

Date	Event	Reference	Summary
14/09/2016	Preparatory document	COM(2016)0604	Summary
20/09/2016	Debate in Council		
16/11/2016	Debate in Council		
06/12/2016	Legislative proposal published	14942/2016	Summary
13/12/2016	Debate in Council		
13/03/2017	Committee referral announced in Parliament		
27/03/2017	Vote in committee		
29/03/2017	Committee report tabled for plenary, 1st reading/single reading	A8-0110/2017	Summary
04/04/2017	Debate in Parliament	\odot	
05/04/2017	Decision by Parliament	T8-0111/2017	Summary
05/04/2017	Results of vote in Parliament		
19/06/2017	Act adopted by Council after consultation of Parliament		
19/06/2017	End of procedure in Parliament		
24/06/2017	Final act published in Official Journal		

Technical information				
Procedure reference 2016/0283(APP)				
Procedure type	APP - Consent procedure			
Procedure subtype	dure subtype Legislation			
Amendments and repeals Amending Regulation (EU, Euratom) No 1311/2013 2011/0177(APP)				
Legal basis Euratom Treaty A 106a-pa Treaty on the Functioning of the EU TFEU 312-p2				
Other legal basis Rules of Procedure EP 165				
Stage reached in procedure Procedure completed				
Committee dossier	BUDG/8/07909			

Documentation gateway

European Parliament

Document type	Committee	Reference	Date	Summary
Committee draft report		PE595.622	22/03/2017	
Committee report tabled for plenary, 1st reading/single reading		A8-0110/2017	29/03/2017	Summary
Text adopted by Parliament, 1st reading/single reading		T8-0111/2017	05/04/2017	Summary

Council of the EU

Document type		Reference	eference		9	Summary
Legislative proposal		14942/20	14942/2016		12/2016	Summary
Supplementary legislative basic document		07031/20	017	06/0	03/2017	
European Commission						
Document type		Reference	Reference		Э	Summary
Commission document (COM)		COM(2016)0603		14/0	09/2016	
Preparatory document		COM(20	DM(2016)0604		09/2016	Summary
Document attached to the procedure		COM(2016)0606		14/0	09/2016	
Non-legislative basic document		COM(2016)0607		14/0	09/2016	
Commission working document (SWD)		SWD(20	0(2016)0299		09/2016	
National parliaments				·		
Document type	Parliai /Cham		Reference		Date	Summary
Contribution	CZ_S	ENATE	COM(2016)0604		14/03/2017	

Final act	
Regulation 2017/1123 OJ L 163 24.06.2017, p. 0001	Summary

Multiannual financial framework for the years 2014-2020: special instruments

2016/0283(APP) - 20/06/2017 - Final act

PURPOSE: to amend Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020 in order to maintain a sufficient capacity for the Union to react to unforeseen circumstances.

LEGISLATIVE ACT: Council Regulation (EU, Euratom) 2017/1123 2017/1123 amending Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020.

CONTENT: special instruments as well as margins have been extensively used in the first years of implementation of the 2014-2020 multiannual financial framework (MFF) to address new challenges which have arisen in the European neighbourhood and which called for swift and comprehensive Union action to deal with their far-reaching humanitarian and security implications.

The amendments made to Regulation (EU, Euratom) No 1311/2013 aim to increase EU support for facing these persistent challenges and reinforce the capacity of the current MFF to face unforeseen events.

The amending Regulation aims to:

- lay down the maximum amounts of the annual adjustments for the years 2018 to 2020 (2011 prices) as compared to the original payment ceiling of the relevant years:
 - I. 2018 EUR 7 billion;
 - II. 2019 EUR 11 billion;
 - III. 2020 EUR 13 billion:
- lay down the annual amount of the Emergency Aid Reserve at EUR 300 million (2011 prices);
- lay down the ceiling for the annual amount for the Flexibility Instrument at EUR 600 million (2011 prices), this amount being increased each
 year from 2017 by the unused portion of the European Union Solidarity Fund and the European Globalisation Adjustment Fund from the
 previous year.
- provide that the margins left available below the MFF ceilings for commitment appropriations shall constitute a Global MFF Margin for commitments, to be made available over and above the ceilings established in the MFF for the years 2016 to 2020 for policy objectives related to growth and employment, in particular youth employment, and to migration and security.

ENTRY INTO FORCE: 14.7.2017.

Multiannual financial framework for the years 2014-2020: special instruments

2016/0283(APP) - 05/04/2017 - Text adopted by Parliament, 1st reading/single reading

The European Parliament adopted by 473 votes to 172, with 54 abstentions, a legislative resolution approving the draft Council regulation amending Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020.

As a reminder, the proposed Regulation seeks to:

- fix the maximum amounts of annual adjustments (in 2011 prices) for the years 2018-2020 as compared to the original payment ceiling of the relevant years: 2018 EUR 7 billion; 2019 EUR 11 billion; 2020 EUR 13 billion;
- set the annual amount of the Emergency Aid Reserve at EUR 300 million (2011 prices);
- set a ceiling on the annual amount available for the Flexibility Instrument of EUR 600 million (2011 prices), this amount may be increased
 each year starting in 2017;
- provide that margins left available below the MFF ceilings for commitment appropriations shall constitute a Global MFF Margin for
 commitments, to be made available over and above the ceilings established in the MFF for the years 2016 to 2020 for policy objectives related
 to growth and employment, in particular youth employment, and to migration and security.

Lastly, specific and maximum possible flexibility should also be ensured by providing for unused amounts from the **European Union Solidarity Fund and the European Globalisation Adjustment Fund** to be made available to the Flexibility Instrument.

Multiannual financial framework for the years 2014-2020: special instruments

2016/0283(APP) - 29/03/2017 - Committee report tabled for plenary, 1st reading/single reading

The Committee on Budgets adopted the report presented jointly by Jan OLBRYCHT (EPP, PL) and Isabelle THOMAS (S&D, FR) on the draft Council regulation amending Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020.

The committee recommended the European Parliament to give its consent to the draft Council regulation as annexed in the draft resolution.

To recall, the proposal intends to:

- fix the maximum amounts of annual adjustments (in 2011 prices) for the years 2018-2020 as compared to the original payment ceiling of the relevant years: 2018 EUR 7 billion; 2019 EUR 11 billion; 2020 EUR 13 billion;
- set the annual amount of the Emergency Aid Reserve at EUR 300 million (2011 prices);
- set a ceiling on the annual amount available for the Flexibility Instrument of EUR 600 million (2011 prices), this amount may be increased
 each year starting in 2017;
- provide that margins left available below the MFF ceilings for commitment appropriations shall constitute a Global MFF Margin for
 commitments, to be made available over and above the ceilings established in the MFF for the years 2016 to 2020 for policy objectives related
 to growth and employment, in particular youth employment, and to migration and security.

Lastly, specific and maximum possible flexibility should also be ensured by providing for unused amounts from the **European Union Solidarity Fund and the European Globalisation Adjustment Fund** to be made available to the Flexibility Instrument.

Multiannual financial framework for the years 2014-2020: special instruments

2016/0283(APP) - 14/09/2016

PURPOSE: to amend Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020 in order to maintain a sufficient capacity for the Union to react to unforeseen circumstances.

PROPOSED ACT: Council Regulation.

ROLE OF THE EUROPEAN PARLIAMENT: Council may adopt the act only if Parliament has given its consent to the act.

BACKGROUND: the **special instruments** have been extensively used in the first years of implementation of the 2014-2020 multiannual financial framework (MFF) set out in Council Regulation (EU, Euratom) No 1311/2013 to **address new challenges which arose in the European neighbourhood** and called for **swift and comprehensive Union action** to deal with their far-reaching humanitarian and security implications.

In order to mobilise additional financial means for measures contributing to tackle the above mentioned challenges, wide use has been made of all budgetary availabilities, including redeployments, margins and special instruments, and new instruments such as European Union Trust Funds and the Facility for Refugees in Turkey were set up to draw additional funding whilst respecting the constraints set by the MFF.

In its Communication on the "Mid-Term Review/Revision of the Multiannual Financial Framework 2014-2020 – An EU Budget Focused on Results", the Commission has assessed the functioning and use made of special instruments in the first two to three years of implementation of the MFF.

As these challenges persist, the Union's continued capacity to react swiftly in emergency situations is at stake. Against this background, the European Parliament called in its resolution of 6 July 2016 for bold steps to enhance the Union budget's flexibility, whilst informal Council discussions also pointed at the need to enhance the ability of the current MFF to respond to unforeseen events.

CONTENT: taking into account the use made of special instruments since the beginning of the MFF period and the new challenges the Union has been and continues to be confronted with, the Commission proposes to **amend the MFF Regulation to allow the Union to react rapidly to crises**, such as the current migration crisis, as well as to events with serious humanitarian or security implications.

More specifically, it is proposed:

- to increase the annual maximum amounts set for the Emergency Aid Reserve and the Flexibility Instrument;
- to introduce a new special instrument for setting up a European Union Crisis Reserve financed from de-committed appropriations;
- to remove the limitations in time and scope of the global margin for commitments;
- to remove, concerning the global margin for payments, the annual caps set for 2018-2020 to allow for the full mobilisation, in the later years of
 the MFF, of the large margins in payments left unused in 2016 and 2017 should it prove necessary, and therefore ensure specific and
 maximum flexibility.

BUDGETARY IMPLICATIONS: this proposal has no immediate budgetary implications.

Multiannual financial framework for the years 2014-2020: special instruments

2016/0283(APP) - 06/12/2016 - Legislative proposal

PURPOSE: to amend Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020 in order to maintain a sufficient capacity for the Union to react to unforeseen circumstances.

PROPOSED ACT: Council Regulation.

ROLE OF THE EUROPEAN PARLIAMENT: Council may adopt the act only if Parliament has given its consent to the act.

BACKGROUND: the **special instruments** have been extensively used in the first years of implementation of the 2014-2020 multiannual financial framework (MFF) set out in Council Regulation (EU, Euratom) No 1311/2013 to address new challenges which arose in the European neighbourhood (such as mass migratory movement) and called for swift and comprehensive Union action to deal with their far-reaching humanitarian and security implications.

Given the persistent nature of these new challenges, the Union shall maintain a sufficient capacity to react to unforeseen circumstances.

CONTENT: the Council draft seeks to amend the MFF Regulation to allow the Union to react rapidly to crises, such as the current migration crisis, as well as to events with serious humanitarian or security implications during the remaining period of the MFF.

The proposal seeks to:

- increase the maximum amounts for the adjustment of payment ceilings for the years 2019-2020 under the global margin for payments. The maximum amounts shall be set at EUR 7 billion in 2018; EUR 11 billion in 2019 and EUR 13 billion in 2020;
- strengthen the Emergency Aid Reserve: the annual amount of the Reserve is fixed at EUR 300 million (2011 prices):
- strengthen the Flexibility Instrument: a ceiling on the annual amount available for the Flexibility Instrument shall be EUR 600 million (2011 prices):
- ensure specific and maximum possible flexibility should also be ensured by providing for unused amounts from the European Union Solidarity
 Fund and the European Globalisation Adjustment Fund to be made available to the Flexibility Instrument;
- provide that the margins left available below the MFF ceilings for commitment appropriations shall constitute a Global MFF Margin for commitments, to be made available over and above the ceilings established in the MFF for the years 2016 to 2020 for policy objectives related to growth and employment, in particular youth employment, and to migration and security.

Multiannual financial framework for the years 2014-2020: special instruments

2016/0283(APP) - 14/09/2016 - Preparatory document

PURPOSE: to amend Regulation (EU, Euratom) No 1311/2013 laying down the multiannual financial framework for the years 2014-2020 in order to maintain a sufficient capacity for the Union to react to unforeseen circumstances.

PROPOSED ACT: Council Regulation.

ROLE OF THE EUROPEAN PARLIAMENT: Council may adopt the act only if Parliament has given its consent to the act.

BACKGROUND: the **special instruments** have been extensively used in the first years of implementation of the 2014-2020 multiannual financial framework (MFF) set out in Council Regulation (EU, Euratom) No 1311/2013 to **address new challenges which arose in the European neighbourhood** and called for **swift and comprehensive Union action** to deal with their far-reaching humanitarian and security implications.

In order to mobilise additional financial means for measures contributing to tackle the above mentioned challenges, wide use has been made of all budgetary availabilities, including redeployments, margins and special instruments, and new instruments such as European Union Trust Funds and the Facility for Refugees in Turkey were set up to draw additional funding whilst respecting the constraints set by the MFF.

In its Communication on the "Mid-Term Review/Revision of the Multiannual Financial Framework 2014-2020 – An EU Budget Focused on Results", the Commission has assessed the functioning and use made of special instruments in the first two to three years of implementation of the MFF.

As these challenges persist, the Union's continued capacity to react swiftly in emergency situations is at stake. Against this background, the European Parliament called in its resolution of 6 July 2016 for bold steps to enhance the Union budget's flexibility, whilst informal Council discussions also pointed at the need to enhance the ability of the current MFF to respond to unforeseen events.

CONTENT: taking into account the use made of special instruments since the beginning of the MFF period and the new challenges the Union has been and continues to be confronted with, the Commission proposes to **amend the MFF Regulation to allow the Union to react rapidly to crises**, such as the current migration crisis, as well as to events with serious humanitarian or security implications.

More specifically, it is proposed:

- to increase the annual maximum amounts set for the Emergency Aid Reserve and the Flexibility Instrument;
- to introduce a new special instrument for setting up a European Union Crisis Reserve financed from de-committed appropriations;
- to remove the limitations in time and scope of the global margin for commitments;
- to remove, concerning the global margin for payments, the annual caps set for 2018-2020 to allow for the full mobilisation, in the later years of
 the MFF, of the large margins in payments left unused in 2016 and 2017 should it prove necessary, and therefore ensure specific and
 maximum flexibility.

BUDGETARY IMPLICATIONS: this proposal has no immediate budgetary implications.