

| Basic information                                                                                                                                                                                                                                                                                                                                                                                         |                                                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| <b>2016/2786(DEA)</b><br>DEA - Delegated acts procedure                                                                                                                                                                                                                                                                                                                                                   | Procedure completed - delegated act enters into force |
| Direct, substantial and foreseeable effect of derivative contracts within the Union and prevention of the evasion of rules and obligations<br><br>Supplementing <a href="#">2011/0296(COD)</a><br><br><b>Subject</b><br><br>2.50.03 Securities and financial markets, stock exchange, CIUTS, investments<br>2.50.08 Financial services, financial reporting and auditing<br>2.50.10 Financial supervision |                                                       |

| Key players         |                                               |                   |                  |
|---------------------|-----------------------------------------------|-------------------|------------------|
| European Parliament | <b>Committee responsible</b>                  | <b>Rapporteur</b> | <b>Appointed</b> |
|                     | <div>ECON</div> Economic and Monetary Affairs |                   |                  |

| Key events |                                                       |                              |         |
|------------|-------------------------------------------------------|------------------------------|---------|
| Date       | Event                                                 | Reference                    | Summary |
| 13/06/2016 | Initial period for examining delegated act 3 month(s) |                              |         |
| 14/06/2016 | Non-legislative basic document published              | <a href="#">C(2016)03544</a> |         |
| 22/06/2016 | Committee referral announced in Parliament            |                              |         |
| 12/07/2016 | Delegated act not objected by Council                 |                              |         |
| 20/09/2016 | Delegated act not objected by Parliament              |                              |         |

| Technical information             |                                                       |
|-----------------------------------|-------------------------------------------------------|
| <b>Procedure reference</b>        | 2016/2786(DEA)                                        |
| <b>Procedure type</b>             | DEA - Delegated acts procedure                        |
| <b>Procedure subtype</b>          | Examination of delegated act                          |
| <b>Amendments and repeals</b>     | Supplementing <a href="#">2011/0296(COD)</a>          |
| <b>Stage reached in procedure</b> | Procedure completed - delegated act enters into force |
| <b>Committee dossier</b>          | ECON/8/06838                                          |

| Documentation gateway |  |  |  |
|-----------------------|--|--|--|
| European Commission   |  |  |  |
|                       |  |  |  |

| Document type                      | Reference                    | Date       | Summary |
|------------------------------------|------------------------------|------------|---------|
| Non-legislative basic document     | <a href="#">C(2016)03544</a> | 14/06/2016 |         |
| Document attached to the procedure | C(2017)1860                  | 17/03/2017 |         |
| Document attached to the procedure | C(2017)3772                  | 30/05/2017 |         |
|                                    |                              |            |         |