

| Basic information                                                                                                                                                                                                                                                                                                                                                                                                 |                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| <b>2016/2985(DEA)</b><br>DEA - Delegated acts procedure                                                                                                                                                                                                                                                                                                                                                           | Procedure completed - delegated act enters into force |
| Parameters for the calculation of cash penalties for settlement fails and the operations of CSDs in host Member States<br><br>Supplementing <a href="#">2012/0029(COD)</a><br><br><b>Subject</b><br><br>2.50.03 Securities and financial markets, stock exchange, CIUTS, investments<br>2.50.04 Banks and credit<br>2.50.08 Financial services, financial reporting and auditing<br>2.50.10 Financial supervision |                                                       |

| Key events |                                                       |                              |         |
|------------|-------------------------------------------------------|------------------------------|---------|
| Date       | Event                                                 | Reference                    | Summary |
| 11/11/2016 | Non-legislative basic document published              | <a href="#">C(2016)07154</a> |         |
| 11/11/2016 | Initial period for examining delegated act 3 month(s) |                              |         |
| 23/11/2016 | Committee referral announced in Parliament            |                              |         |
| 27/01/2017 | Delegated act not objected by Council                 |                              |         |
| 21/02/2017 | Delegated act not objected by Parliament              |                              |         |