

## Basic information

**2017/0359(COD)**

COD - Ordinary legislative procedure (ex-codecision procedure)  
Regulation

Prudential requirements of investment firms

Amending Regulation (EU) No 1093/2010 [2009/0142\(COD\)](#)

Amending Regulation (EU) No 575/2013 [2011/0202\(COD\)](#)

Amending Regulation (EU) No 600/2014 [2011/0296\(COD\)](#)

### Subject

2.50.03 Securities and financial markets, stock exchange, CIUTS, investments

2.50.04 Banks and credit

2.50.05 Insurance, pension funds

2.50.08 Financial services, financial reporting and auditing

Procedure completed

## Documentation gateway



### European Parliament

| Document type   | Committee | Reference                    | Date       | Summary                 |
|---|-----------|------------------------------|------------|-------------------------|
| Committee draft report  |           | <a href="#">PE619.410</a>    | 11/04/2018 |                         |
| Amendments tabled in committee                                  |           | <a href="#">PE623.596</a>    | 05/06/2018 |                         |
| Amendments tabled in committee                                  |           | <a href="#">PE623.631</a>    | 05/06/2018 |                         |
| Committee report tabled for plenary, 1st reading/single reading |           | <a href="#">A8-0296/2018</a> | 27/09/2018 | <a href="#">Summary</a> |
| Text agreed during interinstitutional negotiations              |           | <a href="#">PE637.299</a>    | 20/03/2019 |                         |
| Text adopted by Parliament, 1st reading/single reading          |           | <a href="#">T8-0378/2019</a> | 16/04/2019 | <a href="#">Summary</a> |

### Council of the EU

| Document type  | Reference                           | Date       | Summary |
|--|-------------------------------------|------------|---------|
| Coreper letter confirming interinstitutional agreement | <a href="#">GEDA/A/(2019)002699</a> | 20/03/2019 |         |
| Draft final act  | <a href="#">00080/2019/LEX</a>      | 27/11/2019 |         |

### European Commission

| Document type                                  | Reference  | Date       | Summary                 |
|--|--|------------|-------------------------|
| Legislative proposal                           | <a href="#">COM(2017)0790</a><br> | 20/12/2017 | <a href="#">Summary</a> |
| Document attached to the procedure             | <a href="#">SWD(2017)0481</a><br> | 21/12/2017 |                         |
| Commission response to text adopted in plenary | <a href="#">SP(2019)440</a>  | 08/08/2019 |                         |

### National parliaments

| Document type                        | Parliament /Chamber                               | Reference                                     | Date       | Summary |
|--------------------------------------|---|---|------------|---------|
| Contribution                         | ES_PARLIAMENT                                     | COM(2017)0790                                 | 05/03/2018 |         |
| Contribution                         | DE_BUNDESRAT                                      | COM(2017)0790                                 | 06/03/2018 |         |
| Contribution                         | RO_SENATE   | COM(2017)0790                                 | 28/03/2018 |         |
| Contribution                         | FR_SENATE   | COM(2017)0790                                 | 07/10/2019 |         |
| <b>Other institutions and bodies</b> |   |   |            |         |
| Institution/body                     | Document type                                     | Reference                                     | Date       | Summary |
| ECB                                  | European Central Bank: opinion, guideline, report | CON/2018/0036<br>OJ C 378 19.10.2018, p. 0005 | 22/08/2018 | Summary |