Basic information

2021/2857(DEA)

DEA - Delegated acts procedure

Regulatory technical standards specifying appropriate criteria to identify categories of staff whose professional activities have a material impact on the risk profile of an investment firm or of the assets that it manages

Supplementing 2017/0358(COD)

Subject

2.50.03 Securities and financial markets, stock exchange, CIUTS, investments

2.50.04 Banks and credit

2.50.05 Insurance, pension funds

2.50.08 Financial services, financial reporting and auditing

2.50.10 Financial supervision

Procedure completed - delegated act enters into force

Key players				
European Parliament	Committee responsible	Rapporteur	Appointed	
	ECON Economic and Monetary Affairs			

Key events				
Date	Event	Reference	Summary	
13/08/2021	Non-legislative basic document published	C(2021)05949		
23/08/2021	Initial period for examining delegated act 3 month(s)			
15/09/2021	Committee referral announced in Parliament			
01/12/2021	Delegated act not objected by Parliament			

Technical information		
Procedure reference	2021/2857(DEA)	
Procedure type	DEA - Delegated acts procedure	
Procedure subtype	Examination of delegated act	
Amendments and repeals	Supplementing 2017/0358(COD)	
Stage reached in procedure	Procedure completed - delegated act enters into force	
Committee dossier	ECON/9/07031	

Documentation gateway

European Commission

Document type	Reference	Date	Summary
Non-legislative basic document	C(2021)05949	13/08/2021	
Document attached to the procedure	C(2021)8645	25/11/2021	