

Basic information	
2021/2857(DEA) DEA - Delegated acts procedure Regulatory technical standards specifying appropriate criteria to identify categories of staff whose professional activities have a material impact on the risk profile of an investment firm or of the assets that it manages Supplementing 2017/0358(COD) Subject 2.50.03 Securities and financial markets, stock exchange, CIUTS, investments 2.50.04 Banks and credit 2.50.05 Insurance, pension funds 2.50.08 Financial services, financial reporting and auditing 2.50.10 Financial supervision	Procedure completed - delegated act enters into force

Key players			
European Parliament	Committee responsible	Rapporteur	Appointed
	ECON Economic and Monetary Affairs		

Key events			
Date	Event	Reference	Summary
13/08/2021	Non-legislative basic document published	C(2021)05949	
23/08/2021	Initial period for examining delegated act 3 month(s)		
15/09/2021	Committee referral announced in Parliament		
01/12/2021	Delegated act not objected by Parliament		

Technical information	
Procedure reference	2021/2857(DEA)
Procedure type	DEA - Delegated acts procedure
Procedure subtype	Examination of delegated act
Amendments and repeals	Supplementing 2017/0358(COD)
Stage reached in procedure	Procedure completed - delegated act enters into force
Committee dossier	ECON/9/07031

Documentation gateway
European Commission

Document type	Reference	Date	Summary
Non-legislative basic document	C(2021)05949	13/08/2021	
Document attached to the procedure	C(2021)8645	25/11/2021	