## Basic information

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|---|---|
| **2021/2857(DEA)**<br><br>DEA - Delegated acts procedure | Procedure completed - delegated act enters into force |
| Regulatory technical standards specifying appropriate criteria to identify categories of staff whose professional activities have a material impact on the risk profile of an investment firm or of the assets that it manages<br><br>Supplementing 2017/0358(COD)<br><br>**Subject**<br><br>2.50.03 Securities and financial markets, stock exchange, CIUTS, investments<br>2.50.04 Banks and credit<br>2.50.05 Insurance, pension funds<br>2.50.08 Financial services, financial reporting and auditing<br>2.50.10 Financial supervision | |

## Key events

| Date | Event | Reference | Summary |
|---|---|---|---|
| 13/08/2021 | Non-legislative basic document published | C(2021)05949 | |
| 23/08/2021 | Initial period for examining delegated act 3 month(s) | | |
| 15/09/2021 | Committee referral announced in Parliament | | |
| 01/12/2021 | Delegated act not objected by Parliament | | |